

Appointment

From: Adhar, Radha [/O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=C2B12E8EC5E840C0AF78E7EA9A3652F5-ADHAR, RADH]
Sent: 3/17/2021 2:16:19 PM
To: Arroyo, Victoria [Arroyo.Victoria@epa.gov]; Fine, Philip [Fine.Philip@epa.gov]
Subject: FW: Climate/ESG (EPA/SEC)
Location: Webex

Start: 3/18/2021 1:30:00 PM
End: 3/18/2021 2:30:00 PM
Show Time As: Tentative

-----Original Appointment-----

From: Mishra, Dina <Ex. 6 Personal Privacy (PP)>
Sent: Tuesday, March 16, 2021 9:07 PM
To: Mishra, Dina; Cassady, Alison; Katims, Casey; Adhar, Radha
Cc: Slaughter, Justin; Khanna, Satyam; Coates, John; Wyatt, Kristina
Subject: Climate/ESG (EPA/SEC)
When: Thursday, March 18, 2021 9:30 AM-10:30 AM (UTC-05:00) Eastern Time (US & Canada).
Where: Webex

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Appointment

From: Adhar, Radha [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=c2b12e8ec5e840c0af78e7ea9a3652f5-Adhar, Radh]
Sent: 3/17/2021 2:16:20 PM
To: Mishra, Dina [REDACTED]@SEC.GOV]
Subject: Meeting Forward Notification: Climate/ESG (EPA/SEC)
Start: 3/17/2021 2:30:00 PM
End: 3/17/2021 3:00:00 PM
Show Time As: Busy

Your meeting was forwarded

Adhar, Radha has forwarded your meeting request to additional people.

Meeting

Climate/ESG (EPA/SEC)

Meeting Time

Thursday, March 18, 2021 9:30 AM - Thursday, March 18, 2021 10:30 AM

Recipients

Arroyo, Victoria, Fine, Philip

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Message

From: Mishra, Dina [Ex: 5 Personal Privacy (PP)]@SEC.GOV]
Sent: 3/17/2021 1:30:12 PM
To: Adhar, Radha [Adhar.Radha@epa.gov]; Katims, Casey [Katims.Casey@epa.gov]
CC: Slaughter, Justin [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Khanna, Satyam [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Cassady, Alison [Cassady.Alison@epa.gov]
Subject: RE: Connecting SEC and EPA on climate/environmental issues

Thanks so much, Radha! And thanks for letting us know about Philip's location—attending a meeting at 6:30am Pacific Time would be no picnic, so we can absolutely try to connect with him at another time.

From: Adhar, Radha <Adhar.Radha@epa.gov>
Sent: Wednesday, March 17, 2021 9:24 AM
To: Mishra, Dina [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Katims, Casey <Katims.Casey@epa.gov>
Cc: Slaughter, Justin [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Khanna, Satyam [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Cassady, Alison <Cassady.Alison@epa.gov>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

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Absolutely, I'll add them and see if they can join- Phillip is on the west coast so it may not be possible for him!

From: Mishra, Dina [Ex: 5 Personal Privacy (PP)]@SEC.GOV]
Sent: Wednesday, March 17, 2021 9:15 AM
To: Katims, Casey <Katims.Casey@epa.gov>; Adhar, Radha <Adhar.Radha@epa.gov>
Cc: Slaughter, Justin [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Khanna, Satyam [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Cassady, Alison <Cassady.Alison@epa.gov>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

(Also, if it's all right, I'll extend the meeting time to a full hour (to 10:30am) to accommodate potential additional discussion between those who are available for it.)

From: Mishra, Dina
Sent: Wednesday, March 17, 2021 9:12 AM
To: Katims, Casey <Katims.Casey@epa.gov>; Adhar, Radha <Adhar.Radha@epa.gov>
Cc: Slaughter, Justin [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Khanna, Satyam [Ex: 5 Personal Privacy (PP)]@SEC.GOV]; Cassady, Alison <Cassady.Alison@epa.gov>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

Hi Radha, Casey, and Alison,

We're looking forward to Thursday morning's meeting. Would it be all right if we asked to have Vicki Arroyo and Philip Fine at EPA also join for that discussion, if they're available? Justin had been put in touch with them through other outreach, and it would be great to connect them to John Coates on these issues. Please let us know, and thanks so much.

All best wishes,
Dina

From: Mishra, Dina [Ex. 6 Personal Privacy (PP)]@SEC.GOV>
Sent: Tuesday, March 16, 2021 8:52 PM
To: Katims, Casey <Katims.Casey@epa.gov>; Adhar, Radha <Adhar.Radha@epa.gov>
Cc: Slaughter, Justin [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Khanna, Satyam [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Cassidy, Alison <Cassady.Alison@epa.gov>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

Thanks so much, Radha and Casey! And it's nice to meet you via e-mail, Alison. Two of our colleagues from the SEC's Division of Corporate Finance plan to join as well: John Coates, the Division's Acting Director, and Kristina Wyatt, Senior Counsel for Climate and ESG to the Division's Director. We'll confer and get back to you if it might be helpful to include additional EPA programmatic staff. And in the meantime, I'll send an Outlook invitation with the Webex information for the meeting.

From: Katims, Casey <Katims.Casey@epa.gov>
Sent: Tuesday, March 16, 2021 5:37 PM
To: Adhar, Radha <Adhar.Radha@epa.gov>; Mishra, Dina [Ex. 6 Personal Privacy (PP)]@SEC.GOV>
Cc: Slaughter, Justin [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Khanna, Satyam [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Cassidy, Alison <Cassady.Alison@epa.gov>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

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Just chiming in to say thanks for organizing and looking forward to connecting!

All the best,

Casey

202-494-4609

From: Adhar, Radha <Adhar.Radha@epa.gov>
Sent: Tuesday, March 16, 2021 5:33 PM
To: Mishra, Dina [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Katims, Casey <Katims.Casey@epa.gov>
Cc: Slaughter, Justin [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Khanna, Satyam [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Cassidy, Alison <Cassady.Alison@epa.gov>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

Hi Dina,

Can you please book us for 9:30 on Thursday? Our Deputy COS for Policy will join us but please let me know if we should reach out to additional programmatic staff.

Looking forward to it,
Radha

From: Mishra, Dina [Ex. 6 Personal Privacy (PP)]@SEC.GOV>
Sent: Tuesday, March 16, 2021 10:27 AM
To: Adhar, Radha <Adhar.Radha@epa.gov>; Katims, Casey <Katims.Casey@epa.gov>
Cc: Slaughter, Justin [Ex. 6 Personal Privacy (PP)]@SEC.GOV>; Khanna, Satyam [Ex. 6 Personal Privacy (PP)]@SEC.GOV>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

Thanks so much, Radha, and we look forward to meeting with you too! I'm adding Satyam Khanna (Acting Chair Lee's Senior Policy Advisor for Climate and ESG) to this e-mail in the hopes that he'll be able to join too.

Would any time in the following time ranges work for you?

Tomorrow (Wed.), 3/17: 1:30-3:30pm, 4-5pm

Thurs., 3/18: 9:30-10am, 2-3pm, 3:30-4pm

Fri., 3/19: 10:30-11:30am, 1:30-3pm, 4-5pm

All best wishes,
Dina

From: Adhar, Radha <Adhar.Radha@epa.gov>
Sent: Tuesday, March 16, 2021 10:17 AM
To: Mishra, Dina <[REDACTED]@SEC.GOV>; Katims, Casey <Katims.Casey@epa.gov>
Cc: Slaughter, Justin <[REDACTED]@SEC.GOV>
Subject: RE: Connecting SEC and EPA on climate/environmental issues

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So lovely to be connected Dina, thank you for reaching out! We would be thrilled to connect- can you share some dates and times this week that work and we'll set it up?

Looking forward to it,
Radha

From: Mishra, Dina <[REDACTED]@SEC.GOV>
Sent: Tuesday, March 16, 2021 9:47 AM
To: Adhar, Radha <Adhar.Radha@epa.gov>; Katims, Casey <Katims.Casey@epa.gov>
Cc: Slaughter, Justin <[REDACTED]@SEC.GOV>
Subject: Connecting SEC and EPA on climate/environmental issues

Hi Radha and Casey,

I'm emailing on behalf of myself and Justin Slaughter, who is the Director of the SEC's Office of Legislative and Intergovernmental Affairs (and a senior advisor to our Acting Chair, Allison Herren Lee). We're reaching out because we were hoping to connect folks at the SEC with folks on your end to discuss climate change and other environmental issues relating to ESG (environmental, social, and governance) investing. Would there be a time sometime this week that we could have an initial call to try to get that process moving? In case it's helpful background, I am linking to SEC Acting Chair Lee's [speech](#), delivered yesterday, discussing some of these issues and their importance. Thanks in advance for your time and your help in building this connection.

All best wishes,
Dina

Dina B. Mishra
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U.S. Securities and Exchange Commission
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Washington, DC 20549

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Tel: Ex. 6 Personal Privacy (PP)

Appointment

From: Mishra, Dina [Ex. 6 Personal Privacy (PP)]@SEC.GOV]
Sent: 3/17/2021 1:16:01 PM
To: Cassady, Alison [Cassady.Alison@epa.gov]; Katims, Casey [Katims.Casey@epa.gov]; Adhar, Radha [Adhar.Radha@epa.gov]
CC: Slaughter, Justin [Ex. 6 Personal Privacy (PP)]@SEC.GOV]; Khanna, Satyam [Ex. 6 Personal Privacy (PP)]@SEC.GOV]; Coates, John [Ex. 6 Personal Privacy (PP)]@SEC.GOV]; Wyatt, Kristina [Ex. 6 Personal Privacy (PP)]@SEC.GOV]
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